

The spectral shift function for Dirac operators with electrostatic δ -shell interactions

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- I. Spectral shift function
- II. Representation of the SSF via Weyl function
- III. Dirac operators with electrostatic δ -shell interactions

PART I

Spectral shift function

A very brief history of the spectral shift function

This introduction is based on:

- BirmanYafaev'92: The spectral shift function. The papers of M. G. Krein and their further development
- BirmanPushnitski'98: Spectral shift function, amazing and multifaceted
- Yafaev'92 and '10: Mathematical Scattering Theory

General assumption

$\mathcal{H}$ Hilbert space, A, B selfadjoint (unbounded) operators in $\mathcal{H}$

I. M. Lifshitz, 1952

$B - A$ **finite rank** operator. Then exists $\xi : \mathbb{R} \rightarrow \mathbb{R}$ such that *formally*

$$\mathrm{tr}(\varphi(B) - \varphi(A)) = \int_{\mathbb{R}} \varphi'(t) \xi(t) dt$$

Krein's spectral shift function (1953 and 1962)

Theorem

Assume $B - A$ **trace class** operator, i.e. $B - A \in \mathfrak{S}_1$. Then exists real-valued $\xi \in L^1(\mathbb{R})$ such that

$$\operatorname{tr}((B - \lambda)^{-1} - (A - \lambda)^{-1}) = - \int_{\mathbb{R}} \frac{\xi(t)}{(t - \lambda)^2} dt$$

and $\int_{\mathbb{R}} \xi(t) dt = \operatorname{tr}(B - A)$.

- $\operatorname{tr}(\varphi(B) - \varphi(A)) = \int_{\mathbb{R}} \varphi'(t) \xi(t) dt$ for $\varphi(t) = (t - \lambda)^{-1}$
- Extends to Wiener class $W_1(\mathbb{R})$: $\varphi'(t) = \int e^{-it\mu} d\sigma(\mu)$

Corollary

If $\delta = (a, b)$ and $\bar{\delta} \cap \sigma_{\text{ess}}(A) = \emptyset$ then

$$\xi(b-) - \xi(a+) = \dim \operatorname{ran} E_B(\delta) - \dim \operatorname{ran} E_A(\delta)$$

- Spectral shift function for U, V unitary, $V - U \in \mathfrak{S}_1$

Krein's spectral shift function (1953 and 1962)

Theorem

Assume

$$(B - \lambda)^{-1} - (A - \lambda)^{-1} \in \mathfrak{S}_1, \quad \lambda \in \rho(A) \cap \rho(B). \quad (1)$$

Then exists $\xi \in L^1_{\text{loc}}(\mathbb{R})$ such that $\int_{\mathbb{R}} |\xi(t)|(1+t^2)^{-1} dt < \infty$ and

$$\text{tr}((B - \lambda)^{-1} - (A - \lambda)^{-1}) = - \int_{\mathbb{R}} \frac{\xi(t)}{(t - \lambda)^2} dt.$$

The function ξ is unique up to a real constant.

- Trace formula for $\varphi(t) = (t - \lambda)^{-1}$ and $\varphi(t) = (t - \lambda)^{-k}$
- Large class of φ in trace formula in Peller'85

Birman-Krein formula

Assume (1). The scattering matrix $\{S(\lambda)\}$ of $\{A, B\}$ satisfies

$$\det S(\lambda) = e^{-2\pi i \xi(\lambda)} \quad \text{for a.e. } \lambda \in \mathbb{R}$$

Krein's spectral shift function: Generalizations

L.S. Koplienko 1971

Assume $\rho(A) \cap \rho(B) \cap \mathbb{R} \neq \emptyset$ and for some $m \in \mathbb{N}$:

$$(B - \lambda)^{-m} - (A - \lambda)^{-m} \in \mathfrak{S}_1. \quad (2)$$

Then exists $\xi \in L^1_{\text{loc}}(\mathbb{R})$ such that $\int_{\mathbb{R}} |\xi(t)|(1 + |t|)^{-(m+1)} dt < \infty$

$$\text{tr}((B - \lambda)^{-m} - (A - \lambda)^{-m}) = \int_{\mathbb{R}} \frac{-m}{(t - \lambda)^{m+1}} \xi(t) dt.$$

D.R. Yafaev 2005

Assume (2) for some $m \in \mathbb{N}$ odd. Then exists $\xi \in L^1_{\text{loc}}(\mathbb{R})$ such that $\int_{\mathbb{R}} |\xi(t)|(1 + |t|)^{-(m+1)} dt < \infty$

$$\text{tr}((B - \lambda)^{-m} - (A - \lambda)^{-m}) = \int_{\mathbb{R}} \frac{-m}{(t - \lambda)^{m+1}} \xi(t) dt.$$

Some references and contributors

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- BirmanSolomyak'72
- KreinYavryan'81
- GesztesySimon'96
- Pushnitski'97

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PART II

Representation of the SSF via Weyl function

Quasi boundary triples

$S \subset S^*$ closed symmetric operator in $\mathcal{H}$ with infinite defect

Def. [Brük76, Kochubei75; DerkachMalamud95; B. Langer07]

$\{\mathcal{G}, \Gamma_0, \Gamma_1\}$ **quasi boundary triple for S^*** if $\mathcal{G}$ Hilbert space and $T \subset \overline{T} = S^*$ and $\Gamma_0, \Gamma_1 : \text{dom } T \rightarrow \mathcal{G}$ such that

- (i) $(Tf, g) - (f, Tg) = (\Gamma_1 f, \Gamma_0 g) - (\Gamma_0 f, \Gamma_1 g), f, g \in \text{dom } T$
- (ii) $\Gamma := \begin{pmatrix} \Gamma_0 \\ \Gamma_1 \end{pmatrix} : \text{dom } T \rightarrow \mathcal{G} \times \mathcal{G}$ dense range
- (iii) $A = T \upharpoonright \ker \Gamma_0$ selfadjoint

Example 1: $(-\Delta + V$ on domain $\Omega, \partial\Omega$ of class $C^2, V \in L^\infty$ real)

$$Sf = -\Delta f + Vf \upharpoonright \{f \in H^2(\Omega) : f|_{\partial\Omega} = \partial_\nu f|_{\partial\Omega} = 0\}$$

$$S^*f = -\Delta f + Vf \upharpoonright \{f \in L^2(\Omega) : \Delta f \in L^2(\Omega)\} \not\subset H^s(\Omega), \quad s > 0$$

$$Tf = -\Delta f + Vf \upharpoonright H^2(\Omega)$$

$$\text{Here } (Tf, g) - (f, Tg) = (f|_{\partial\Omega}, \partial_\nu g|_{\partial\Omega}) - (\partial_\nu f|_{\partial\Omega}, g|_{\partial\Omega}).$$

$$\text{Choose } \mathcal{G} = L^2(\partial\Omega), \Gamma_0 f := \partial_\nu f|_{\partial\Omega}, \Gamma_1 f := f|_{\partial\Omega}.$$

Example 2: Dirac operators with δ -shell interactions

Σ boundary of bdd. C^∞ -domain $\Omega_+ \subset \mathbb{R}^3$, $\Omega_- := \mathbb{R}^3 \setminus \overline{\Omega}_+$

$$Sf = \begin{pmatrix} -ic\nabla f_+ + mc^2\beta f_+ \\ -ic\nabla f_- + mc^2\beta f_- \end{pmatrix}, \quad \text{dom } S = H_0^1(\Omega_+) \oplus H_0^1(\Omega_-)$$

$$Tf = \begin{pmatrix} -ic\nabla f_+ + mc^2\beta f_+ \\ -ic\nabla f_- + mc^2\beta f_- \end{pmatrix}, \quad \text{dom } T = H^1(\Omega_+) \oplus H^1(\Omega_-)$$

$$S^*f = \begin{pmatrix} -ic\nabla f_+ + mc^2\beta f_+ \\ -ic\nabla f_- + mc^2\beta f_- \end{pmatrix}, \quad \text{dom } S^* \text{ maximal in } L^2$$

For $f \in \text{dom } T = H^1(\Omega_+) \oplus H^1(\Omega_-)$ set

$$\Gamma_0 f := ic\alpha \cdot \nu(f_+|_\Sigma - f_-|_\Sigma) \quad \text{and} \quad \Gamma_1 f := \frac{1}{2}(f_+|_\Sigma + f_-|_\Sigma) + \frac{1}{\eta}\Gamma_0 f.$$

Example 2: Dirac operators with δ -shell interactions

Proposition

Then $\overline{T} = S^*$ and $\{L^2(\Sigma), \Gamma_0, \Gamma_1\}$ QBT, where

$$\Gamma_0 f := ic\alpha \cdot \nu(f_+|_\Sigma - f_-|_\Sigma) \quad \text{and} \quad \Gamma_1 f := \frac{1}{2}(f_+|_\Sigma + f_-|_\Sigma) + \frac{1}{\eta}\Gamma_0 f$$

for $f \in \text{dom } T = H^1(\Omega_+) \oplus H^1(\Omega_-)$.

With this choice of Γ_0 and Γ_1 we have

$$A = T \upharpoonright \ker \Gamma_0 = -ic\nabla + mc^2\beta, \quad \text{dom } A = H^1(\mathbb{R}^3),$$

and

$$A_\eta := T \upharpoonright \ker \Gamma_1 = -ic\nabla + mc^2\beta + \eta\delta_\Sigma$$

A_η selfadjoint in $L^2(\mathbb{R}^3)$ for $\eta \neq \{\pm 2c, 0\}$ (Holzmann lecture!)

γ -field and Weyl function

$S \subset T \subset \bar{T} = S^*$, $\{\mathcal{G}, \Gamma_0, \Gamma_1\}$ quasi boundary triple (QBT).

Definition

Let $f_\lambda \in \ker(T - \lambda)$, $\lambda \in \mathbb{C} \setminus \mathbb{R}$. γ -**field** and **Weyl function**:

$$\begin{aligned}\gamma(\lambda) : \mathcal{G} &\rightarrow \mathcal{H}, & \Gamma_0 f_\lambda &\mapsto f_\lambda, & \lambda &\in \rho(A) \\ M(\lambda) : \mathcal{G} &\rightarrow \mathcal{G}, & \Gamma_0 f_\lambda &\mapsto \Gamma_1 f_\lambda, & \lambda &\in \rho(A)\end{aligned}$$

- $\gamma(\lambda)$ solves boundary value problem in PDE
- $M(\lambda)$ Dirichlet-to-Neumann (Neumann-to-Dir. map) in PDE

Example 1: $-\Delta + V$, QBT $\{L^2(\partial\Omega), \partial_\nu f|_{\partial\Omega}, f|_{\partial\Omega}\}$

Here $\ker(T - \lambda) = \{f \in H^2(\Omega) : -\Delta f + Vf = \lambda f\}$ and

$$\gamma(\lambda) : L^2(\partial\Omega) \supset H^{1/2}(\partial\Omega) \rightarrow L^2(\Omega), \quad \varphi \mapsto f_\lambda,$$

where $(-\Delta + V)f_\lambda = \lambda f_\lambda$ and $\partial_\nu f_\lambda|_{\partial\Omega} = \varphi$, and

$$M(\lambda) : L^2(\partial\Omega) \supset H^{1/2}(\partial\Omega) \rightarrow L^2(\partial\Omega), \quad \varphi = \partial_\nu f_\lambda|_{\partial\Omega} \mapsto f_\lambda|_{\partial\Omega}.$$

Example 2: Dirac operators with δ -shell interactions

$$Tf = \begin{pmatrix} -ic\nabla f_+ + mc^2\beta f_+ \\ -ic\nabla f_- + mc^2\beta f_- \end{pmatrix}, \quad \text{dom } T = H^1(\Omega_+) \oplus H^1(\Omega_-)$$

and QBT $\{L^2(\Sigma), \Gamma_0, \Gamma_1\}$, where

$$\Gamma_0 f = ic\alpha \cdot \nu(f_+|_\Sigma - f_-|_\Sigma), \quad \Gamma_1 f = \frac{1}{2}(f_+|_\Sigma + f_-|_\Sigma) + \frac{1}{\eta}\Gamma_0 f,$$

and $((A - \lambda)^{-1}f)(x) = \int_{\mathbb{R}^3} G_\lambda(x - y)f(y)dy$.

γ -field and Weyl function defined on $\text{ran } \Gamma_0 = H^{1/2}(\Sigma)$ are

$$\gamma(\lambda): L^2(\Sigma) \rightarrow L^2(\mathbb{R}^3), \quad \varphi \mapsto \int_\Sigma G_\lambda(x - y)\varphi(y)d\sigma(y),$$

$$M(\lambda): L^2(\Sigma) \rightarrow L^2(\Sigma), \quad \varphi \mapsto \lim_{\substack{\varepsilon \rightarrow 0 \\ |x-y| > \varepsilon}} \int G_\lambda(x - y)\varphi(y)d\sigma(y) + \frac{1}{\eta}\varphi$$

Quasi boundary triples and selfadjoint extensions

Perturbation problems for selfadjoint operators in QBT scheme:

Lemma

Assume A, B selfadjoint and $S = A \cap B$,

$$Sf := Af = Bf, \quad \text{dom } S = \{f \in \text{dom } A \cap \text{dom } B : Af = Bf\}$$

densely defined. Then exists $T \subset \overline{T} = S^*$ and QBT $\{\mathcal{G}, \Gamma_0, \Gamma_1\}$ such that

$$A = T \upharpoonright \ker \Gamma_0 \quad \text{and} \quad B = T \upharpoonright \ker \Gamma_1$$

and

$$(B - \lambda)^{-1} - (A - \lambda)^{-1} = -\gamma(\lambda)M(\lambda)^{-1}\gamma(\bar{\lambda})^*,$$

where γ and M are the γ -field and Weyl function of $\{\mathcal{G}, \Gamma_0, \Gamma_1\}$.

Main abstract result: First order case

Theorem

A, B selfadjoint, $S = A \cap B$ densely defined and $\{\mathcal{G}, \Gamma_0, \Gamma_1\}$ QBT

$$A = T \upharpoonright \ker \Gamma_0 \quad \text{and} \quad B = T \upharpoonright \ker \Gamma_1$$

Assume

$$(A - \mu)^{-1} \geq (B - \mu)^{-1} \quad \text{for some } \mu \in \rho(A) \cap \rho(B) \cap \mathbb{R}$$

and $\overline{\gamma(\lambda_0)} \in \mathfrak{S}_2$, $M(\lambda_1)^{-1}, M(\lambda_2)$ bounded for $\lambda_0, \lambda_1, \lambda_2$ Then:

- $(B - \lambda)^{-1} - (A - \lambda)^{-1} = -\gamma(\lambda)M(\lambda)^{-1}\gamma(\bar{\lambda})^* \in \mathfrak{S}_1$
- $\operatorname{Im} \log(\overline{M(\lambda)}) \in \mathfrak{S}_1(\mathcal{G})$ for all $\lambda \in \mathbb{C} \setminus \mathbb{R}$ and

$$\xi(t) = \lim_{\varepsilon \rightarrow +0} \frac{1}{\pi} \operatorname{tr} (\operatorname{Im} \log(\overline{M(t + i\varepsilon)})) \quad \text{for a.e. } t \in \mathbb{R}$$

is a spectral shift function for $\{A, B\}$, in particular,

$$\operatorname{tr} ((B - \lambda)^{-1} - (A - \lambda)^{-1}) = - \int_{\mathbb{R}} \frac{1}{(t - \lambda)^2} \xi(t) dt.$$

Main abstract result: Higher order case

Theorem

A, B selfadjoint, $S = A \cap B$ densely defined and $\{\mathcal{G}, \Gamma_0, \Gamma_1\}$ QBT

$$A = T \upharpoonright \ker \Gamma_0 \quad \text{and} \quad B = T \upharpoonright \ker \Gamma_1$$

Assume

$$(A - \mu)^{-1} \geq (B - \mu)^{-1} \quad \text{for some } \mu \in \rho(A) \cap \rho(B) \cap \mathbb{R}$$

$M(\lambda_1)^{-1}, M(\lambda_2)$ bounded for λ_1, λ_2 and for some $k \in \mathbb{N}$:

$$\frac{d^p}{d\lambda^p} \overline{\gamma(\lambda)} \frac{d^q}{d\lambda^q} (M(\lambda)^{-1} \gamma(\bar{\lambda})^*) \in \mathfrak{S}_1, \quad p + q = 2k,$$

$$\frac{d^q}{d\lambda^q} (M(\lambda)^{-1} \gamma(\bar{\lambda})^*) \frac{d^p}{d\lambda^p} \overline{\gamma(\lambda)} \in \mathfrak{S}_1, \quad p + q = 2k,$$

$$\frac{d^j}{d\lambda^j} \overline{M(\lambda)} \in \mathfrak{S}_{\frac{2k+1}{j}}, \quad j = 1, \dots, 2k + 1.$$

Main abstract result: Higher order case

Theorem

A, B selfadjoint, $S = A \cap B$ densely defined and $\{\mathcal{G}, \Gamma_0, \Gamma_1\}$ QBT

$$A = T \upharpoonright \ker \Gamma_0 \quad \text{and} \quad B = T \upharpoonright \ker \Gamma_1$$

Assume

$$(A - \mu)^{-1} \geq (B - \mu)^{-1} \quad \text{for some } \mu \in \rho(A) \cap \rho(B) \cap \mathbb{R}$$

$M(\lambda_1)^{-1}, M(\lambda_2)$ bounded for λ_1, λ_2 and $\mathfrak{S}_p$ -conditions. Then:

- $(B - \lambda)^{-(2k+1)} - (A - \lambda)^{-(2k+1)} \in \mathfrak{S}_1$
- For any ONB (φ_j) in $\mathcal{G}$ and a.e. $t \in \mathbb{R}$

$$\xi(t) = \sum_j \lim_{\varepsilon \rightarrow +0} \frac{1}{\pi} (\operatorname{Im} \log(\overline{M(t + i\varepsilon)}) \varphi_j, \varphi_j)$$

is a spectral shift function for $\{A, B\}$, in particular,

$$\operatorname{tr}((B - \lambda)^{-(2k+1)} - (A - \lambda)^{-(2k+1)}) = - \int_{\mathbb{R}} \frac{2k+1}{(t - \lambda)^{2k+2}} \xi(t) dt$$

- If A, B semibounded then

$$(A - \mu)^{-1} \geq (B - \mu)^{-1} \iff A \leq B$$

in accordance with $\xi(t) = \frac{1}{\pi} \text{tr} (\text{Im} \log(\overline{M(t + i0)})) \geq 0$

Representation of SSF via M -function:

- Rank 1, $k = 0$ [LangerSnooYavrian'01]
- Rank $n < \infty$, $k = 0$ [B. MalamudNeidhardt'08]
- Other representation via modified perturbation determinant for M for $k = 0$ [MalamudNeidhardt'15]

Representation of scattering matrix $\{S(\lambda)\}_{\lambda \in \mathbb{R}}$ of $\{A, B\}$ for the trace class case ($k = 0$):

$$S(\lambda) = I - 2i\sqrt{\text{Im } M(\lambda + i0)} \left(\overline{M(\lambda + i0)} \right)^{-1} \sqrt{\text{Im } M(\lambda + i0)}$$

[AdamyantPavlov'86], [B. MalamudNeidhardt'08 and '15],
[MantilePosilicanoSini'15]

PART III

Dirac operators with electrostatic δ -shell interactions

A QBT for Dirac operators

Σ boundary of bdd. C^∞ -domain $\Omega_+ \subset \mathbb{R}^3$, $\Omega_- := \mathbb{R}^3 \setminus \overline{\Omega}_+$

$$Sf = \begin{pmatrix} -ic\nabla f_+ + mc^2\beta f_+ \\ -ic\nabla f_- + mc^2\beta f_- \end{pmatrix}, \quad \text{dom } S = H_0^1(\Omega_+) \oplus H_0^1(\Omega_-)$$

$$Tf = \begin{pmatrix} -ic\nabla f_+ + mc^2\beta f_+ \\ -ic\nabla f_- + mc^2\beta f_- \end{pmatrix}, \quad \text{dom } T = H^1(\Omega_+) \oplus H^1(\Omega_-)$$

Then $\{L^2(\Sigma), \Gamma_0, \Gamma_1\}$ QBT, where

$$\Gamma_0 f := ic\alpha \cdot \nu(f_+|_\Sigma - f_-|_\Sigma) \quad \text{and} \quad \Gamma_1 f := \frac{1}{2}(f_+|_\Sigma + f_-|_\Sigma) + \frac{1}{\eta}\Gamma_0 f$$

and $A = T \upharpoonright \ker \Gamma_0 = -ic\nabla + mc^2\beta$ free Dirac operator,

$$A_\eta = B = T \upharpoonright \ker \Gamma_1 = -ic\nabla + mc^2\beta + \eta\delta_\Sigma$$

γ -field and Weyl function, strength η

$$\gamma(\lambda): L^2(\Sigma) \rightarrow L^2(\mathbb{R}^3), \quad \varphi \mapsto \int_{\Sigma} G_{\lambda}(x-y)\varphi(y)d\sigma(y),$$

$$M(\lambda): L^2(\Sigma) \rightarrow L^2(\Sigma), \quad \varphi \mapsto \lim_{\substack{\varepsilon \rightarrow 0 \\ |x-y| > \varepsilon}} \int G_{\lambda}(x-y)\varphi(y)d\sigma(y) + \frac{1}{\eta}\varphi$$

are continuous and admit closures defined on $L^2(\Sigma)$. Recall from [ArrizabalagaMasVega'15] that

$$\sup_{\lambda \in [-mc^2, mc^2]} \|\overline{M(\lambda)} - \eta^{-1}\| = M_0 < \infty.$$

Assumptions on η

$$|\eta| \leq \frac{1}{M_0} \quad \text{and} \quad \eta \neq \{\pm 2c, 0\}.$$

In this case gap preserved: $\sigma(A_{\eta}) \cap (-mc^2, mc^2) = \emptyset$

Spectral shift function for the pair $\{A, A_\eta\}$, $\eta \neq \pm 2c$

Theorem

- $(A_\eta - \lambda)^{-3} - (A - \lambda)^{-3} \in \mathfrak{S}_1(L^2(\mathbb{R}^3))$ for $\lambda \in \rho(A_0) \cap \rho(A_\eta)$
- For $\eta \in (0, M_0^{-1})$, any ONB (φ_j) in $L^2(\Sigma)$ and a.e. $t \in \mathbb{R}$

$$\xi_+(t) = \sum \lim_{\varepsilon \rightarrow +0} \frac{1}{\pi} (\operatorname{Im} \log(\overline{M(t + i\varepsilon)}) \varphi_j, \varphi_j)$$

is a spectral shift function for $\{A, A_\eta\}$

- For $\eta \in (-M_0^{-1}, 0)$, any ONB (φ_j) in $L^2(\Sigma)$ and a.e. $t \in \mathbb{R}$

$$\xi_-(t) = \sum \lim_{\varepsilon \rightarrow +0} \frac{1}{\pi} (\operatorname{Im} \log(\overline{-M(t + i\varepsilon)^{-1}}) \varphi_j, \varphi_j)$$

is a spectral shift function for $\{A_\eta, A\}$

- The following trace formula holds for $\lambda \in \rho(A_0) \cap \rho(A_\eta)$:

$$\operatorname{tr} ((A_\eta - \lambda)^{-3} - (A - \lambda)^{-3}) = \mp \int_{\mathbb{R}} \frac{3}{(t - \lambda)^4} \xi_{\pm}(t) dt$$

Sketch of the proof

- $\eta \in (0, M_0^{-1})$ and $\lambda \in (-mc^2, mc^2)$

$$\begin{aligned}(A_\eta - \lambda)^{-1} &= (A - \lambda)^{-1} - \gamma(\lambda)(\eta^{-1} + M(\lambda))^{-1}\gamma(\lambda)^* \\ &\leq (A - \lambda)^{-1}\end{aligned}$$

- $\frac{d^k}{d\lambda^k}\gamma(\bar{\lambda})^* = k!\Gamma_1(A - \lambda)^{-k-1} \in \mathfrak{S}_{\frac{4}{2k+1}}(L^2(\mathbb{R}^3), L^2(\Sigma))$
- $\frac{d^k}{d\lambda^k}\overline{\gamma(\lambda)} \in \mathfrak{S}_{\frac{4}{2k+1}}(L^2(\Sigma), L^2(\mathbb{R}^3))$
- $\frac{d^k}{d\lambda^k}\overline{M(\lambda)} = k!\Gamma_1(A - \lambda)^{-k}\gamma(\lambda) \in \mathfrak{S}_{2/k}(L^2(\Sigma))$

Use $\mathfrak{S}_{1/x} \cdot \mathfrak{S}_{1/y} = \mathfrak{S}_{1/(x+y)}$ and conclude

- $\frac{d^p}{d\lambda^p}\overline{\gamma(\lambda)}\frac{d^q}{d\lambda^q}(M(\lambda)^{-1}\gamma(\bar{\lambda})^*) \in \mathfrak{S}_1, \quad p+q=2,$
- $\frac{d^q}{d\lambda^q}(M(\lambda)^{-1}\gamma(\bar{\lambda})^*)\frac{d^p}{d\lambda^p}\overline{\gamma(\lambda)} \in \mathfrak{S}_1, \quad p+q=2,$
- $\frac{d^j}{d\lambda^j}\overline{M(\lambda)} \in \mathfrak{S}_{3/j}, \quad j=1,2,3.$

Apply Main Theorem with $k=1$.

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Spectral shift functions and Dirichlet-to-Neumann maps

Thank you for your attention